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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/681.099 TRANSMITTAL Filing Date January 4, 2001 **FORM** First Named Inventor Richard E. Vogel Art Unit (to be used for all correspondence after initial filling) 3727 Examiner Name Joseph C. Merek Attorney Docket Number 70254-0328 Total Number of Pages in This Submission ENCLOSURES (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer **Extension of Time Request** Identify below): Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Appeal Brief will also be sent in triplicate to Mail Stop Appeal Brief - Patents, Response to Missing Parts/ Commissioner for Patents, PO Box 1450, Alexandria, VA, 22313-1450. Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Mark A. Davis, Reg. No. 37,118 Individual name McGarry/Bair PC Signature NUI. Date November 1, 2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Andrea R. Jacobson Date moran November 1, 2004 Signature

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Applicant:

Richard E. Vogel

For:

CONFECTION CUP ASSEMBLY

Serial No.:

09/681,099

Examiner: Joseph C. Merek

Filed:

January 4, 2001

Group Art Unit: 3727

Atty. Docket:

70254-328

Confirmation No.: 8152

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Date: November 1, 2004

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65 Examiner Merek at Technology Center 3700 at (703) 872-9306.

Andrea R. Jacobson

(type or print name of person certifying)

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

RESPONSE TO NOTIFICATION OF NON-COMPLIANCE

In response to the Notification of Non-Compliance mailed September 30, 2004, regarding the form of the Applicants' Appeal Brief filed June 9, 2004, attached is a Second Amended Appeal Brief. The brief is submitted via facsimile and in triplicate via Express Mail.

Applicants note that even though new rules for appeal briefs under 37 C.F.R. § 41.37 ("the new rules") took effect on September 13, 2004, the current amended appeal brief can still be filed under the prior rules of 37 C.F.R. §1.192 ("the old rules") since the original appeal brief was filed before September 13, 2004. Support for the applicability of the old rules in this instance is found at paragraph 6 of the Clarification of the Effective Date Provision in the Rules of Practice before the Board of Patent Appeals and Interferences published on the USPTO's web site at: http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/bpai91304.pdf

Serial No.

09/681,099

January 4, 2001

Filed: Page 2 of 2 %81,099

Examiner:

Joseph C. Merek

Group Art Unit: 3727

It is respectfully submitted that the new Appeal Brief complies with all of the requirements of 37 CFR 1.192(c). For completeness, the action taken by Applicant to address each assertion of non-compliance is summarized below:

1. The Examiner asserted that in Issue I claim 29 was improperly grouped with claim 28.

Applicants agree and revised the grouping such that claim 29 now stands alone. Separate arguments for patentability were added for claim 29.

It is respectfully submitted that the new Appeal Brief conforms with the requirements of 37 CFR 1.192(c). If there are any questions, please contact the undersigned attorney.

Respectfully submitted,

Dated: 11/1/04

Mark A. Davis (Reg. No. 37,118

McGarry Bair PC

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